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Fatal neuroinvasion of SARS-CoV-2 in K18-hACE2 mice is partially dependent on hACE2 expression

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32 SUMMARY

COVID-19 is a respiratory disease caused by SARS-CoV-2, a betacoronavirus. Here, we show that in a widely used transgenic mouse model of COVID-19, lethality is invariably associated with viral neuroinvasion and the ensuing neuronal disease, while lung inflammation remains moderate.

37 **ABSTRACT**

Animal models recapitulating the distinctive features of severe COVID-19 are critical to 38 enhance our understanding of SARS-CoV-2 pathogenesis. Transgenic mice expressing 39 40 human angiotensin-converting enzyme 2 (hACE2) under the cytokeratin 18 promoter (K18-hACE2) represent a lethal model of SARS-CoV-2 infection. However, the cause(s) 41 and mechanisms of lethality in this mouse model remain unclear. Here, we evaluated 42 the spatiotemporal dynamics of SARS-CoV-2 infection for up to 14 days post-infection. 43 Despite infection and moderate inflammation in the lungs, lethality was invariably 44 associated with viral neuroinvasion and neuronal damage (including spinal motor 45 neurons). Neuroinvasion occurred following virus transport through the olfactory 46 neuroepithelium in a manner that was only partially dependent on hACE2. Interestingly, 47 48 SARS-CoV-2 tropism was overall neither widespread among nor restricted to only ACE2-expressing cells. Although our work incites caution in the utility of the K18-hACE2 49 50 model to study global aspects of SARS-CoV-2 pathogenesis, it underscores this model 51 as a unique platform for exploring the mechanisms of SARS-CoV-2 neuropathogenesis.

52 INTRODUCTION

The world is experiencing the devastating effects of the Coronavirus Disease 2019 53 (COVID-19) pandemic, a highly contagious viral respiratory disease caused by the 54 newly emerged betacoronavirus, Severe Acute Respiratory Syndrome Coronavirus-2 55 (SARS-CoV-2) (Andersen et al., 2020; Coronaviridae Study Group of the International 56 Committee on Taxonomy of, 2020; Wang et al., 2020a). The initial index case was 57 reported at a seafood market in Wuhan, Hubei Province, China in late 2019 (Andersen 58 59 et al., 2020). While still under investigation, it has been postulated that the progenitor of 60 SARS-CoV-2 may have originated from horseshoe bats (Rhinolophus affinis) or Malayan pangolins (Manis javanica) that, following spill over into humans, acquired the 61 62 genomic features leading to adaptation and human-to-human transmission (Andersen et al., 2020). SARS-CoV-2 has a high transmissibility rate and, to date, it has infected 63 nearly 84.5 million people with over 1.8 million fatalities (Johns Hopkins University & 64 Medicine, 2020). COVID-19 causes respiratory disease of variable severity, ranging 65 from mild to severe, with the development of acute respiratory distress syndrome 66 (ARDS) requiring intensive care and mechanical ventilation (Goyal et al., 2020; 67 Tenforde et al., 2020; Wang et al., 2020a; Wang et al., 2020b). Numerous comorbidities 68 including hypertension, obesity and diabetes, among others, are affiliated with an 69 70 increased risk of developing severe COVID-19 (Goyal et al., 2020; Simonnet et al., 71 2020; Tartof et al., 2020; Team, 2020; Tenforde et al., 2020). Furthermore, a proportion 72 of infected patients develop poorly understood neurological signs and/or symptoms 73 mostly associated with the loss of smell and taste (anosmia and ageusia), headache, dizziness, encephalopathy (delirium), and ischemic injury (stroke), among other 74 uncommon symptoms (DosSantos et al., 2020; Eliezer et al., 2020; Ellul et al., 2020; 75

Goyal et al., 2020; Lee et al., 2020; Liu et al., 2020; Solomon et al., 2020; Walker et al., 2020; Wang et al., 2020b). Recently, SARS-CoV-2 RNA and antigen has been reported in the brain of COVID-19 patients and the olfactory mucosa postulated as a port of entry (Meinhardt et al., 2020). COVID-19 has severely challenged health care systems around the globe, with the urgent need for medical countermeasures including the development of efficacious vaccines and therapeutics.

Animal models permissive to SARS-CoV-2 that could serve as suitable models to 82 understand the pathogenesis of COVID-19 and as preclinical models for the evaluation 83 of vaccine and therapeutic targets are critically needed (Johansen et al., 2020; 84 85 McNamara et al., 2020; Munoz-Fontela et al., 2020). While various animal models (mice, hamsters, non-human primates, ferrets, minks, dogs, and cats) have been 86 evaluated to date (Gaudreault et al., 2020; Imai et al., 2020; Meekins et al., 2020; 87 88 Munoz-Fontela et al., 2020; Rockx et al., 2020; Shi et al., 2020; Shuai et al., 2020; Sia et al., 2020; Winkler et al., 2020), none faithfully recapitulates all of the pathological 89 90 features of COVID-19. The main limitation in the development of suitable murine models of COVID-19 is related to the virus entry mechanism: SARS-CoV-2 binds to target cells 91 via interaction between the viral spike protein (S) and the host angiotensin-converting 92 enzyme 2 (ACE2), considered to be the major host entry receptor (Hoffmann et al., 93 2020). The low binding affinity between the S protein and murine ACE2 (mACE2) 94 95 renders conventional mouse strains naturally resistant to infection, posing a challenge in 96 the development of murine models of COVID-19 (Conceicao et al., 2020; Damas et al., 2020; Dinnon et al., 2020). These difficulties have been circumvented by the 97 98 development of transgenic murine models that express human ACE2 (hACE2) under

99 different promoters including cytomegalovirus (CMV), hepatocyte nuclear factor-100 3/forkhead homologue 4 (HFH4), and cytokeratin 18 (K18) (Jiang et al., 2020; McCray 101 et al., 2007; Rathnasinghe et al., 2020; Winkler et al., 2020; Zheng et al., 2020). The 102 transgenic murine model expressing hACE2 under a K18 promoter (namely K18-103 hACE2) was developed by McCray et al in 2007 to study SARS-CoV (McCray et al., 104 2007), which shares the same host receptor as SARS-CoV-2 (Li et al., 2003).

SARS-CoV-2 infection of K18-hACE2 mice results in up to 100% lethality similar to what 105 has been reported for SARS-CoV (McCray et al., 2007; Winkler et al., 2020; Zheng et 106 107 al., 2020). Lethality was reported to be associated with lung inflammation and impaired 108 respiratory function, suggesting that this model can recapitulate features of the respiratory disease observed in severe cases of COVID-19 (Rathnasinghe et al., 2020; 109 Winkler et al., 2020; Zheng et al., 2020). While several studies have reported SARS-110 111 CoV-2 neuroinvasion and neurological signs of disease in infected K18-hACE2 mice (Golden et al., 2020; Zheng et al., 2020), the contribution of CNS disease in the 112 lethality of the model and the mechanism by which neuroinvasion occurs, remain 113 114 unclear.

Under K18 regulation, the expression of hACE2 is reported to be limited mainly to airway epithelial cells and enterocytes lining the colonic mucosa, to a lower degree within kidney, liver, spleen and small intestine, and a minor level of expression in the brain (McCray et al., 2007). However, the cellular distribution of ACE2, and particularly hACE2, in tissues of K18-hACE2 mice remains largely undetermined. We hypothesized that the nature, severity, and outcome of disease in K18-hACE2 mouse model is not solely dictated by the expression and tissue distribution of hACE2 and that increased

122 lethality in this model is related to neuroinvasion. To investigate this hypothesis, we 123 undertook a comprehensive spatiotemporal analysis of histologic changes, cellular 124 distribution and abundance of viral antigen and RNA along with detailed analysis of the 125 distribution of hACE2 and its correlation with SARS-CoV-2 tropism.

126 Although SARS-CoV-2 antigen and RNA could be detected in ACE2-expressing cells such as olfactory neuroepithelium (ONE) and alveolar type 2 (AT2) cells, we found that 127 SARS-CoV-2 primarily infected neurons and alveolar type 1 (AT1) cells lacking ACE2 128 expression. The lethality of the K18-hACE2 model was entirely due to viral 129 neuroinvasion, which occurred through the olfactory neuroepithelium. This process was 130 131 accompanied by clinically detectable neurological manifestations, and was partially driven by ACE2-independent mechanisms. Altogether, this study expands the current 132 knowledge on the K18-hACE2 murine model to study COVID-19. Our findings will help 133 134 refine its utilization for providing a relevant understanding of the molecular mechanisms driving neuropathogenesis and pulmonary pathology. 135

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137 **RESULTS**

SARS-CoV-2 is invariably fatal in infected K18-hACE2 mice with evidence of neuroinvasion. K18-hACE2 mice inoculated intranasally with SARS-CoV-2 (1×10^{6} plaque-forming units [PFU]; n=47 [n=25 male and n=12 female) began losing weight as early as 2-3 days post-infection (dpi) irrespective of sex, with maximum weight loss occurring at 7 dpi ($17.7\% \pm 7.8\%$ in male mice, $21.8\% \pm 3.1\%$ in female, and combined 18.9% \pm 6.9%; Figure 1A). Trends in weight loss were associated with an increase in 144 clinical scores and a decline in core body temperature, both of which became most pronounced near the time of death (Figure 1B-C). SARS-CoV-2-infected K18-hACE2 145 mice exhibited neurological signs by 6-7 dpi, characterized by tremors, proprioceptive 146 147 defects, abnormal gait and imbalance. The majority of the animals were either euthanized at this time or were found dead in their cage (93%; 28/30 [Figure 1D]). At 148 the time of death (7-10 dpi), the median clinical score was 3 (interquartile range = 2) 149 and the mean body temperature 30.6 \pm 3.7 °C. Two male mice survived to the end of 150 2 of 37 animals survived = 5% of animals lived. 151 the 14-day observation period. One of these animals had a maximum clinical score of 152 3/5 at 10 dpi and lost a maximum of 6% body weight, while the other displayed no 153 clinical signs or appreciable weight loss over the observation period. Furthermore, 154 neither of the two male survivors were hypothermic at any point in time, a feature that ? hypothalamus 155 was consistently observed in animals that succumbed to disease.

When compared with C57BL/6J mice, which were concomitantly infected with SARS-156 CoV-2, the K18-hACE2 mice had several dark red, slightly depressed foci of variable 157 158 size (2-3 mm), scattered throughout the lung lobes at 2 and 4 dpi. By 7 dpi, these foci 159 grew into large coalescing, dark red depressions encompassing around 30% of the pulmonary parenchyma, leading to the abnormal pulmonary buoyancy (i.e. tissues sank 160 when placed in formalin). Additionally, the mice euthanized due to clinical deterioration 161 frequently had a markedly dilated urinary bladder filled with concentrated urine. The 162 mice euthanized at 14 dpi (n=2) had sporadic dark red pinpoint foci in the pulmonary 163 164 parenchyma.

Lethality was associated with significant viral load in the lung and brain of the K18hACE2 mice (Figure 1E), as previously reported (Golden et al., 2020; Rathnasinghe et

al., 2020; Winkler et al., 2020; Zheng et al., 2020). In the lung, viral RNA was detectable 167 at the earliest experimental timepoint (2 dpi), with a mean of 6.42x10⁷ RNA copies/mg. 168 peaked at 4 dpi (mean of 5.8x10⁸ RNA copies/mg) and remained high at 7 dpi (mean of 169 3.71x10⁷ RNA copies/mg). In contrast, viral RNA in the brain was low at 2 dpi (mean of 170 2.46x10⁵ RNA copies/mg) but dramatically increased at 7 dpi (4-log increase) 171 representing the highest viral RNA load during the course of the study, with a mean of 172 1.27x10⁹ RNA copies/mg. A small amount of viral RNA was detected in the serum 173 (<10⁶ RNA copies/ml). However, incubation of SARS-CoV-2 permissive cell lines (i.e. 174 Vero E6 cells) with serum samples did not result in any detectable productive infection 175 in vitro, confirming that K18-hACE2 are not viremic during infection and that small 176 amounts of naked viral RNA might be circulating in the bloodstream following release 177 178 from infected tissues (data not shown). Altogether, these data illustrate that lethality was associated with increasing viral load in the brain until time of death, in contrast to lung 179 viral load. 180

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Transient SARS-CoV-2 infection in the nasal cavity of K18-hACE2 mice. We next performed detailed histologic analysis of various tissues to uncover the mechanism of lethality in K18-hACE2 mice. For this, we first focused on the spatial and temporal dynamics of SARS-CoV-2 infection in the upper respiratory tract and analyzed the anterior/rostral nasal cavity and olfactory neuroepithelium for disease-associated lesions. To do so, we performed a thorough sequential histologic analysis combined with immunohistochemistry (IHC) and RNAscope® *in situ* hybridization (ISH) in order to determine the cellular localization and abundance of SARS-CoV-2 antigen and RNA,
 using an anti-spike monoclonal antibody and an S-specific RNA probe, respectively.

At 2 dpi, the anterior/rostral nasal cavity was characterized by mild, multifocal 191 192 neutrophilic inflammation (rhinitis) in all infected K18-hACE2 mice. In the rostral and 193 intermediate turbinates, histologic changes were characterized by segmental degeneration and necrosis of the lining transitional and respiratory epithelium, with cell 194 rounding, loss of cilia and sloughing, along with scattered intracytoplasmic SARS-CoV-2 195 196 antigen and RNA (Figure 2). Nasal passages were partially filled with small amounts of cellular debris, degenerate neutrophils and small numbers of erythrocytes. The lamina 197 propria underlying affected areas was infiltrated by low to mild numbers of neutrophils 198 and fewer lymphocytes (Figure 2). SARS-CoV-2 antigen and RNA were detected within 199 200 the epithelium lining the mucosa of rostral and intermediate turbinates. At 4 dpi, 201 epithelial degeneration and necrosis in the rostral and intermediate turbinates were no longer observed, and histologic changes were consistent with mild, multifocal 202 203 lymphocytic rhinitis with rare clusters of few neutrophils within the lamina propria (Figure 2). Nasal passages were clear of exudate. The abundance of SARS-CoV-2 antigen and 204 RNA decreased, and was mostly restricted to rare positive cells in the respiratory 205 epithelium (Figure 2, Table 1 and Table S1). By 7 dpi, the nasal passages (including the 206 ONE) were histologically within normal limits and no SARS-CoV-2 antigen or RNA were 207 detectable in the rostral and intermediate turbinates (Figure S1). 208

The ONE was histologically unremarkable at all timepoints despite the variable amounts of viral antigen and RNA detected during the course of the study. At 2 dpi, multifocal clusters of cells within the ONE contained abundant SARS-CoV-2 antigen and RNA

(Figure 2, Table 1 and Table S1), becoming gradually more rare at 4 and 7 dpi. No
SARS-CoV-2 antigen or RNA were detected in the ONE by 14 dpi (Table 1, Table S1,
and Figure S1).

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Moderate interstitial pneumonia in K18-hACE2 mice following SARS-CoV-2 216 infection. To evaluate the effects of SARS-CoV-2 infection in the lower respiratory 217 tract of K18-hACE2 mice, we performed similar analysis to that described above. In the 218 219 lower respiratory tract, histologic alterations in the pulmonary parenchyma mainly 220 involved the alveoli, interstitium and vasculature. Pathologic alterations in the lungs were overall characterized by variable degree of interstitial pneumonia and variable 221 abundance of SARS-CoV-2 antigen and RNA, which were strictly dependent on the 222 223 experimental timepoint.

224 At 2 dpi, mild perivascular and peribronchiolar inflammation, consisting primarily of lymphocytes and lesser numbers of histiocytes, and occasional perivascular edema 225 226 were evident (Figure 3). Pulmonary vessels were frequently reactive and lined by a 227 plump endothelium with large numbers of marginating mononuclear leukocytes and few neutrophils (Figure 3). Multifocal to coalescing areas of the pulmonary parenchyma, 228 mostly associated with a bronchopulmonary segment, were composed of alveolar septa 229 mildly expanded by lymphocytes, histiocytes and fewer neutrophils along with scattered 230 231 air spaces containing low numbers of neutrophils and mild numbers of histiocytes and lymphocytes (Figure 3). SARS-CoV-2 antigen and RNA were multifocally detected 232 within the pulmonary parenchyma, and localized in alveolar type (AT) 1 and fewer AT2 233 234 pneumocytes predominantly within and adjacent to areas of interstitial pneumonia as

demonstrated by singleplex and multiplex IHC, and RNAscope[®] ISH (Figure 3 and
 Figure S2).

At 4 dpi, peak in viral antigen and RNA abundance was reached (correlating with the highest viral RNA load as determined by RT-qPCR) along with a higher number of infiltrating lymphocytes (Figure 3 and Figure S2). SARS-CoV-2 cellular tropism did not differ from that described at 2 dpi.

At 7 dpi, there was an increase in the severity of the interstitial pneumonia, which 241 affected up to ~30% of the parenchyma (Figure 3). Multifocal bronchopulmonary 242 243 segments were composed of alveolar septa expanded by numerous mononuclear cells 244 and fewer neutrophils that extend into the alveolar spaces, occasional septal necrotic debris, and mild proliferation of AT2 cells (Figure 3). In some animals, the affected 245 alveoli were multifocally denuded to completely disrupted by necrosis, and filled with 246 247 necrotic cell debris and alveolar edema. As for earlier timepoints, mild perivascular and 248 peribronchiolar cuffing of lymphocytes and histiocytes was a common feature. While 249 bronchioles were mostly unaffected, sporadically they were partially lined by an 250 attenuated epithelium and contain rare, individualized mononuclear cells within their 251 lumina. SARS-CoV-2 antigen and RNA were still abundant (Figure 3, Table 1, Figure S2, and Table S1), albeit to a lower extent than at 4 dpi suggesting progressive 252 resolution of viral infection by the host consistent with the RT-qPCR data (Figure 1E). 253 254 Interestingly, viral antigen was mostly distributed in AT1 and AT2 cells within 255 histologically normal areas of lung adjacent to areas of intense inflammation, while 256 areas of subacute interstitial pneumonia and mild AT2 hyperplasia were characterized 257 by scant to absent SARS-CoV-2 S immunoreactivity (Figure 3, Table 1 and Table S1).

Finally, multifocal areas of similar interstitial pneumonia with sporadic aggregates of interstitial lymphocytes and more prominent AT2 hyperplasia were identified in the two male survivors at 14 dpi (Figure 3). No SARS-CoV-2 antigen or RNA was detected at this time point (Figure 3 and Figure S2).

262 Of note, no evidence of SARS-CoV-2 infection in bronchiolar epithelium and pulmonary 263 vasculature was seen at any time during the course of the study (Figure 3, Table 1, 264 Figure S2, and Table S1). Similarly, hyaline membranes, vascular thrombosis, and syncytial cells were not observed at any time point across all animals; which strikingly 265 266 contrasts with the human patient (Martines et al., 2020) and non-human primate studies (Aid et al., 2020; Blair et al., 2020). Hemorrhage and pulmonary edema were only rarely 267 observed and of minimal-to-moderate severity. In one animal (7 dpi), there was 268 269 localized flooding of bronchioles by degenerate neutrophils and cellular debris mixed 270 with birefringent foreign material consistent with aspiration pneumonia, a reported rare 271 complication in K18 hACE2 mice infected with SARS-CoV-1 that is ultimately attributed 272 to pharyngeal and laryngeal dysfunction secondary to central nervous system (CNS)

Altogether, our data shows evidence of a significant but moderate interstitial pneumonia in infected K18-hACE2 mice. The histopathological features and the moderate extent of the pneumonia observed in the lung of K18-hACE2 mice therefore contrast with those observed in severe cases of COVID-19 in humans, and suggest that the lethality observed in this model might be independent of lung inflammatory mechanisms.

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disease (McCray et al., 2007).

Effective control of SARS-CoV-2 infection in the lower respiratory tract is 281 associated with T-cell and macrophage infiltration. Subsequently, we aimed to 282 further investigate SARS-CoV-2 tropism in the lower respiratory tract of K18-hACE2 283 284 mice, as well as the dynamics of the host response in this tissue upon infection. We first performed multiplex IHC to probe the localization of SARS-CoV-2 antigen in AT1 285 pneumocytes (cell maker: receptor for advanced glycation end-products [RAGE]), AT2 286 pneumocytes (cell marker: surfactant protein C [SPC]), and endothelial cells (cell 287 marker: CD31). We found that SARS-CoV-2 showed preferential tropism for RAGE+ 288 AT1 pneumocytes, as well as scattered SPC+ AT2 pneumocytes, but not for CD31+ 289 290 endothelial cells (Figure 4A).

Next, we optimized and applied a 5-plex IHC to characterize the inflammatory response 291 292 in the lungs of K18-hACE2 mice infected with SARS-CoV-2. At 2 dpi, the affected areas of the pulmonary parenchyma were characterized by abundant viral antigen 293 accompanied by an early host response predominantly mediated by Iba-1+ 294 macrophages and low numbers of CD3+CD8- T lymphocytes (presumably CD4+ T 295 lymphocytes, Figure 4C). At 7 dpi, the parenchyma was infiltrated by CD3+CD8+ and 296 297 CD3+CD8- T lymphocytes and abundant lba-1+ macrophages with a reduction in the number of SARS-CoV-2-infected cells, the latter mostly restricted to areas of the 298 parenchyma with less intense inflammatory response (Figure 4D). At 14 dpi, the 299 300 inflammatory response was characterized by multifocal interstitial aggregates of CD19+ B lymphocytes, abundant Iba-1+ macrophages, moderate numbers of CD3+CD8- T 301 lymphocytes, (scattered throughout the interstitium as well as associated with CD19+ B 302 303 lymphocyte aggregates) and a reduction in the number of infiltrating CD3+CD8+ T

Iymphocytes with undetectable SARS-CoV-2 antigen (Figure 4E). Altogether, our data showed that control of SARS-CoV-2 in the lung of K18-hACE2 mice is associated with moderate interstitial pneumonia characterized by a strong local lymphocytic and histiocytic host response. Effective immune control and moderate inflammation once again suggest that lethality observed in K18-hACE2 mice is attributable to lungindependent disease.

310 Severe SARS-CoV-2 neuroinvasion and neurological damage in K18-hACE2 mice.

Pursuing our hypothesis that the lethality of the K18-hACE2 mice is associated with 311 312 brain neuroinvasion, we analyzed sagittal sections of the brain including representation 313 of the cribriform plate and olfactory bulb at different timepoints post-infection (2, 4, 6-7 314 and 14 dpi) for histologic changes and viral antigen distribution (Figures 5 and 6). 315 Histologic alterations in the brain were first observed as early as 6 dpi but mostly at 7 dpi, and consisted of mild, multifocal neuronal spongiosis primarily throughout the 316 cerebral cortical layers of the somatomotor, somatosensory and visual areas (Figure 5). 317 318 Additionally, multifocal blood vessels were delimited by delicate cuffs of few 319 lymphocytes with mild numbers of reactive glial cells in the adjacent neuroparenchyma. 320 At 7 dpi, histologic alterations worsened in severity and became more widespread with involvement of the olfactory bulb, cerebral cortex (most predominantly somatosensory 321 and somatomotor areas), hippocampus (mainly CA1 region), brainstem (thalamus), 322 323 midbrain and the dentate nucleus ventral to the cerebellum (Figure 5). At the level of the olfactory bulb, the glomerular, external plexiform, and mitral cell layers were 324 characterized by moderate to marked neuropil vacuolization (spongiosis) with 325 326 occasional vacuoles containing intralesional cell debris. Elsewhere, the grey and white

327 matter within affected areas of the neuroparenchyma were extensively and predominantly characterized by marked spongiosis with frequent clear vacuoles 328 containing intralesional cell debris, and multifocal shrunken, angular, hypereosinophilic 329 330 and pyknotic neuronal bodies with loss of Nissl substance/chromatolysis (neuronal degeneration and necrosis, Figure 5) and occasionally delimited by multiple glial cells 331 (satellitosis). There were multifocal, delicate perivascular cuffs composed of 332 lymphocytes and the adjacent neuroparenchyma had an increase in the number of 333 reactive glial cells (gliosis). Notably, the cerebellum (cortical layers and associated white 334 matter of the cerebellar folia) was spared of histologic changes. 335

336 Neuronal changes correlated with abundant neuronal immunoreactivity for SARS-CoV-2 337 S antigen and viral RNA, with exclusive localization within the perikaryon and neuronal 338 processes (Figure 6). SARS-CoV-2 antigen and RNA had a widespread distribution throughout the brain in roughly 85% (11/13) of infected K18-hACE2 mice at 7 dpi, 339 including neuronal bodies within the cerebral cortex, CA1, CA2 and CA3 regions of the 340 341 hippocampus, anterior olfactory nucleus, caudoputamen, nucleus accumbens, thalamic 342 nuclei including hypothalamus, midbrain, pons and medulla oblongata nuclei (Figure 6). Few vestibulocochlear nerve fascicles showed immunoreactivity for viral antigen; while 343 no viral S antigen or RNA was detected in areas spared of histological changes 344 including the cerebellar cortex and white matter, optic nerve and retina, and the spiral 345 346 ganglion of the inner ear (albeit the eye and inner ear were not present in the majority of sections examined). SARS-CoV-2 S antigen and RNA preceded histological findings 347 with rare viral antigen and RNA detected as early as 4 dpi in mitral and inner nuclear 348

neurons of the olfactory bulb, as well as small clusters of neurons within the anterior
 olfactory nucleus and orbital area of the cerebral cortex (Figure 6).

Multiplex IHC for co-detection of SARS-CoV-2 antigen, Iba-1+ and GFAP+ glial cells 351 352 was performed at 7 dpi, timepoint at which widespread viral antigen and RNA along with 353 histological lesions were observed. Multiple IHC revealed that the gliosis in the brain of 354 infected mice was attributed to abundant GFAP+ astrocytes as well as Iba-1+ microglial cells, which did not colocalize with SARS-CoV-2 S protein expression (Figure 7). Iba-1+ 355 356 microglial cells with broad cytoplasmic ramifications were seen to be tightly associated 357 with SARS-CoV-2+ neuronal bodies, suggestive of an active host response against infection (Figure 7). 358

We evaluated the extent of the CNS involvement in infected K18-hACE2 mice at 7 dpi by additionally examining the cervicothoracic and lumbosacral segments of the spinal cord. In 9/11 infected K18-hACE2 mice that showed widespread viral antigen in the brain, the spinal cord also had mild-to-moderate viral antigen that predominated within the cervicothoracic segments (Figure S3, Table 1 and Table S1). Neuronal changes were similar to those noted in affected areas of the brain; however, gliosis and perivascular cuffing were sparse.

Finally, Luxol Fast Blue was utilized to assess myelin loss (demyelination) following SARS-CoV-2 invasion in the brain and spinal cord at 7 dpi. No evidence of demyelination was noted.

Taken together, our data show that SARS-CoV-2 infection of K18-hACE2 results in severe neuronal invasion of the CNS, likely via retrograde transport through the

371 olfactory bulb originating from axonal processes traversing the ONE. Viral 372 neuroinvasion resulted in extensive cytopathic effect in neurons, comprising not only the 373 brain but also the spinal cord.

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ACE2 expression and distribution does not fully reflect SARS-CoV-2 tissue 375 tropism in K18-hACE2 mice. To further explore the mechanism driving lethal SARS-376 CoV-2 infection in K18-hACE2 mice, we first investigated the tissue and cellular 377 378 distribution of the ACE2 receptor in both C57BL/6J and K18-hACE2 mice by IHC using a cross-reactive anti-ACE2 antibody (cross-reactive to hACE2 and mACE2) (Table S2). 379 In the lower respiratory tract (lungs), ACE2 was ubiquitously expressed along the apical 380 membrane of bronchiolar epithelium and, less commonly, in rare and scattered AT2 381 382 pneumocytes (Figure 8). No ACE2 expression was found in AT1 pneumocytes. Strikingly, no differences in the distribution and abundance of ACE2 expression were 383 384 identified between uninfected C57BL/6J, sham-inoculated K18-hACE2, and terminal (7 385 dpi) K18-hACE2 mice inoculated with SARS-CoV-2 (Figure 8).

We therefore aimed at analyzing expression and distribution of hACE2 mRNA using 386 RNAscope® ISH. Although no expression of hACE2 mRNA was detected in the lungs of 387 non-transgenic C57BL/6J mice (Figure 9), expression of hACE2 mRNA was detectable 388 but low in the lungs of K18-hACE2 mice, and mostly involved bronchiolar epithelial cells 389 390 with sporadic expression within few pneumocytes (Figure 9). In summary, these findings indicate that hACE2 expression in the lungs of K18-hACE2 is low, and that expression 391 of hACE2 is not the sole host factor determinant of susceptibility to SARS-CoV-2. This 392 393 is clearly exemplified by the following: 1) certain cell types that, while expressing

hACE2, were non-permissive to SARS-CoV-2 infection throughout the experiment (i.e. bronchiolar epithelial cells); and 2) the near diffuse infection of AT1 cells by 4 dpi despite sparse to absent expression of *hACE2* in these cells. These observations support evidence for an ACE2-independent viral entry mechanism playing a major role in the pulmonary dissemination of K18-hACE2 mice.

399 In contrast to the lung, increased ACE2 expression was clearly evident in the nasal 400 cavity of K18-hACE2 mice compared to C57BL/6J mice. We assessed ACE2 expression on the rostral nasal epithelium, respiratory epithelium at the level of the 401 402 intermediate turbinates (Figure 8) as well as in the ONE and olfactory bulb (Figure 8). Unlike C57BL/6J mice, in which ACE2 was undetectable within the nasal cavity, ACE2 403 404 was diffusely expressed within the apical membrane of transitional and respiratory 405 epithelium, and segmentally within the apical surface of the ONE in both shaminoculated and SARS-CoV-2-infected K18-hACE mice (Figure 8). While minimal to rare 406 expression of hACE2 mRNA was identified in the neurons of the mitral layer of the 407 olfactory bulb, olfactory neuroepithelium and respiratory epithelium of rostral turbinates, 408 409 estimation of its abundance and distribution could not be fully assessed since the 410 decalcification procedure had a significant impact in the guality of cellular mRNA as demonstrated by the low detection of the housekeeping mRNA, *Ppib* (data not shown). 411

In the brain of both C57BL/6J and K18-hACE2 mice, ACE2 immunoreactivity was observed in the vascular endothelium lining blood vessels (Figure 8), as well as ependymal and choroid plexus epithelium. In contrast, distribution of *hACE2* mRNA expression involved clusters of neurons within the cerebral cortex, hippocampus, midbrain, brainstem and Purkinje cells from the cerebellum, with no expression noted in

417 non-transgenic C57BL/6J mice (Figure 10 and Figure S4). There was no expression of hACE2 mRNA in vascular endothelial cells. Taken together, our data show a 418 discrepancy between ACE2 protein and RNA expression and distribution within the 419 420 CNS. This is partly attributable to the fact that the ACE2 antibody we utilized cross reacts with both hACE2 and mACE2, while the ACE2 probe employed was human 421 hACE2 hybridization 422 specific. The absence of with simultaneous ACE2 immunoreactivity in the capillary endothelium supports the notion that ACE2 expression 423 in these cells is of murine origin. The absence of ACE2 immunoreactivity in neurons is 424 425 suggestive of a potential restriction in the translation (or post-translation) of the ACE2 receptor in these cells. This, in addition to the fact that Purkinje cells of the cerebellum 426 are non-permissive to SARS-CoV-2 infection in spite of the expression of hACE2 427 428 mRNA, also suggests that ACE2 is likely not the sole host factor associated with 429 neuroinvasion and that other ACE2-independent entry mechanisms contribute to neuroinvasion and spread by SARS-CoV-2 in this murine model. 430

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Absence of infection and histologic lesions in extrapulmonary and extraneural 432 tissues despite ACE2 expression. Other tissues examined included heart, kidney, 433 stomach, duodenum, jejunum, ileum, cecum, and colon. All of these were histologically 434 within normal limits (data not shown). No SARS-CoV-2 S antigen was detected in any of 435 436 these tissues at any time point (data not shown). ACE2 distribution was evaluated in sections of the heart, stomach, small intestine and colon. While ACE2 expression was 437 limited to the capillary vascular endothelium in the heart and stomach, intense 438 439 expression was noted in the non-glandular mucosa of the stomach and apical surface of

440 enterocytes lining the small intestinal mucosa. Colonic enterocytes rarely expressed441 ACE2 (Figure S5).

442

443 **DISCUSSION**

The K18-hACE2 transgenic mouse model has become a widespread laboratory animal 444 445 model suitable for studying SARS-CoV-2 pathogenesis as well as medical 446 countermeasures against COVID-19 (Johansen et al., 2020). The suitability of this model relies on the common host entry receptor shared between SARS-CoV-1 and 447 448 SARS-CoV-2 (Hoffmann et al., 2020; Li et al., 2003), and transgenic mice expressing 449 hACE2 under the K18 promoter develop lethal clinical disease associated with 450 pulmonary pathology and neuroinvasion, with high viral titers (Golden et al., 2020; 451 McCray et al., 2007; Moreau et al., 2020; Oladunni et al., 2020; Rathnasinghe et al., 452 2020; Winkler et al., 2020; Yinda et al., 2020; Zheng et al., 2020). In contrast, other murine models of SARS-CoV-2 (e.g. adenovirus-transduced hACE2 mice and 453 454 transgenic mice expressing hACE2 under the HFH4 promoter) develop only mild 455 disease with limited and short-lived viral replication and pulmonary pathology, and low to no lethality (Jiang et al., 2020; Rathnasinghe et al., 2020). While the K18-hACE2 456 457 murine model has been critical in shedding light on mechanisms of lung injury and dysfunction, it fails to faithfully recapitulate several key histologic features of severe and 458 lethal cases of COVID-19 in humans, such as diffuse alveolar damage (DAD) with 459 hyaline membrane formation and multi-organ failure associated with hypercoagulability 460 and widespread microthrombi formation (Maiese et al., 2020; Martines et al., 2020). 461

462 In order to better understand the pathogenesis of SARS-CoV-2, well-characterized animal models are critically needed (Munoz-Fontela et al., 2020). Even though the K18-463 hACE2 murine model is currently under extensive use, several aspects associated with 464 the temporospatial dynamics of SARS-CoV-2 infection remain poorly characterized, 465 including the expression and cellular distribution of hACE2. We attempted to further 466 characterize pathological aspects related to viral pathogenesis in this unique murine 467 model, and hypothesized that the temporospatial distribution of SARS-CoV-2 and 468 pathological outcomes following infection in the K18-hACE2 murine model is partially 469 470 but not solely associated with hACE2 and that increased lethality in this model is related to neuroinvasion. The study presented herein provides additional novel information 471 regarding the temporal and spatial aspects of SARS-CoV-2 infection in the K18-hACE2 472 473 mouse model with emphasis on pathological outcomes as well as a thorough and 474 methodical characterization of ACE2 expression in this transgenic mouse model, which 475 contributes to our understanding of this critical model used for preclinical evaluation of 476 vaccines and antiviral therapeutics. The results presented herein not only demonstrate that lethality of this murine model is associated with neuroinvasion and subsequent 477 478 neuronal cytopathic effect, but that SARS-CoV-2 tropism is not solely restricted to 479 ACE2-expressing cells in K18-hACE2 mice. Thus, the neuropathogenic potential of SARS-CoV-2 is dependent on other host factors. 480

In this study, we have utilized a large cohort of K18-hACE2 mice (n=50) in order to sequentially evaluate SARS-CoV-2 tropism and pathological alterations, spatial and temporal analysis of host factors including inflammatory response and ACE2/hACE2 expression, and survival curve analysis for a period of 14 dpi. Similarly to previous

485 studies, which only observed the outcome of SARS-CoV-2 for up to 7 dpi (Golden et al., 2020; Moreau et al., 2020; Oladunni et al., 2020; Rathnasinghe et al., 2020; Winkler et 486 al., 2020), infected K18-hACE2 mice initially developed significant clinical disease with 487 488 marked weight loss and increased respiratory effort associated with the development of interstitial pneumonia and high viral load as determined via IHC, ISH and RT-qPCR; an 489 unquestionable feature of this model. Survival curve analysis clearly demonstrated that 490 lethality in infected mice only occurs after 6 dpi and in the vast majority of mice (96.3%), 491 coincided with the initiation of neurologic signs and/or symptoms, neuronal cytopathic 492 effect, and abundance of viral S antigen and RNA in the CNS. These observations are a 493 494 clear indication of the rapid and fatal neuroinvasive nature of this model. Our study also demonstrates that SARS-CoV-2 has a tropism for motor neurons within the spinal cord 495 496 (predominantly within the cervicothoracic segments), which was only observed in mice with concurrent brain involvement suggesting a descending infection. This finding helps 497 rationalize the neurologic signs observed with this model including decreased 498 499 mobility/responsiveness and decreased urine voiding that was evident at necropsy, reflective of severe urinary bladder dilation and accumulation of concentrated urine. 500 Given the spinal cord involvement, the latter is likely attributed to altered spinal reflexes 501 502 and/or decreased intervention of the detrusor muscle, which is required for normal 503 micturation. An additional striking clinical feature in infected K18-hACE2 mice at 7 dpi was hypothermia (mean body temperature of 30.6 °C), which is likely a consequence of 504 505 hypothalamic and motor neuron dysfunction associated with SARS-CoV-2 neurotropism and could serve as a clinical indicator of CNS involvement. Our results unequivocally 506 507 demonstrate that neuroinvasion represents the driving component of fatality in this

508 animal model compared to others such as Syrian hamsters, which display more severe 509 pulmonary disease and infection of the ONE, but no evidence of neuroinvasion (Bryche et al., 2020). Furthermore, these animals invariably recover within 14 days following 510 511 intranasal infection with SARS-CoV-2 (Bryche et al., 2020; Imai et al., 2020; Osterrieder et al., 2020; Rosenke et al., 2020; Sia et al., 2020). Very few infected K18-hACE2 mice 512 (2/30) from our cohort survived until the end of the study (14 dpi) and, while ameliorated 513 pulmonary lesions were noticed in these, they did not exhibit involvement of the CNS 514 515 further supporting the observation that mice suffering from SARS-CoV-2 neuroinvasion invariably succumb at earlier time points post-infection. Both of these survivor mice 516 517 developed pulmonary interstitial aggregates of B lymphocytes that were distinct from earlier time points, which could be suggestive of the development of protective adaptive 518 519 immunity. The normal histologic appearance of the CNS in surviving mice and lack of 520 any residual neurologic signs supports the notion that animals can fully recover from infection, albeit we acknowledge that limited neuroinvasion in these animals could not 521 522 be disproven. Furthermore, we acknowledge that extensive neurobehavior testing, which is beyond the expertise of the authors, would be required to rule out any long-523 term sequelae in the rare instance of survivors. Overall, these findings are of 524 525 importance to researchers with a particular interest in studying SARS-CoV-2-associated neuropathogenesis, as premature euthanasia due to other clinical features (i.e., weight 526 527 loss, ruffled fur, and/or respiratory distress) have the potential to precede CNS disease and such terminal endpoints, if elected, may preclude evaluation of the effects of SARS-528 CoV-2 in the CNS. Instead decreased responsiveness/mobility and tremors are 529 530 interpreted to reflect better clinical findings supportive of neuroinvasive disease.

To date, the precise mechanism(s) enabling neuroinvasion in the K18-hACE2 model is 531 poorly understood (Bryche et al., 2020; DosSantos et al., 2020; Ellul et al., 2020; Liu et 532 al., 2020; Solomon et al., 2020). Here, we determined that K18-hACE2 transgenic mice 533 534 show a significant upregulation in the expression of ACE2 in the nasal cavity (particularly in the neuroepithelium) compared to wild-type C57BL/6J mice, in which 535 ACE2 expression is undetectable by IHC. This difference between K18-hACE2 and 536 C57BL/6J mice is clearly attributed to the expression of the hACE2 transgene, and is a 537 key feature to the neuropathogenesis of this model. Interestingly, temporal analysis of 538 SARS-CoV-2 S antigen and RNA in the ONE of transgenic mice preceded and/or 539 occurred simultaneously with infection of neurons within the glomerular and mitral layers 540 of the olfactory bulb, indicative of possible viral retrograde transport through axonal 541 542 processes traversing the cribriform plate and allowing viral spread within cortical 543 neurons and beyond. Expression of hACE2 within neurons in the CNS is overall low and does not correlate with our immunohistochemical findings, where ACE2 protein was 544 545 restricted to capillary endothelium, ependymal and choroid epithelium with sparing of neurons and their processes. These findings suggest the ACE2 expression in these 546 anatomical compartments could be attributed to mACE2 or indicative of a post-547 548 transcriptional event that could be limiting neuronal expression of hACE2. These along with the fact that hACE2 mRNA is not abundantly and equally expressed among 549 550 different neuronal populations and that Purkinje cells in the cerebellum express hACE2 551 mRNA, but are not permissive to SARS-CoV-2 infection, suggest that entry of SARS-CoV-2 into neurons is likely mediated by other host receptors independent of ACE2. 552

553 Infection of brain organoids has been shown to be inhibited by the use of anti-ACE2 554 antibodies (Song et al., 2020). However, brain organoids do not recapitulate the 555 complexity of the entire CNS, and retrograde transport of viral particles into the CNS 556 can hardly be modeled *in vitro*. Altogether, this suggests that while ACE2 is assuredly an important mediator of CNS neuroinvasion, in vitro platforms to study mechanisms of 557 SARS-CoV-2 neuroinvasion are limiting, and require the use of more complex 558 experimental systems. Recently, neuropilin-1, a transmembrane glycoprotein serving as 559 560 cell surface receptor for semaphorins and other ligands, was shown to serve as an 561 alternative receptor for SARS-CoV-2 mediating entry into the neuroepithelium and 562 neurons (Cantuti-Castelvetri et al., 2020). Even though we analyzed the expression of neuropilin-1 in this study (data not shown), we observed ubiquitous expression in the 563 564 nasal passages, brain, kidneys, liver and lungs, precluding any definitive conclusions in support or against these claims (Cantuti-Castelvetri et al., 2020). 565

566 Anosmia and ageusia (loss of smell and taste, respectively) represent the earliest and 567 most common but transient neurologic symptoms in people with COVID-19, being reported in \geq 50% of cases (Eliezer et al., 2020; Ellul et al., 2020; Walker et al., 2020). 568 Hyposmia or anosmia has also been clearly characterized in K18-hACE2 mice, 569 570 occurring between 2-3 dpi, which was characterized through a series of unique 571 behavioral tests requiring a normal sense of smell (Zheng et al., 2020). Other neurologic 572 manifestations of COVID-19 are associated with acute cerebrovascular disease, with 573 cohort studies reporting strokes in 2–6% of hospitalized patients (Ellul et al., 2020; Wang et al., 2020b). Long-term neurologic sequelae associated with COVID-19 or its 574 575 effect on neurodegenerative diseases remain unclear (Wang et al., 2020b). Very little is

576 known about the pathogenesis of these neurologic manifestations and whether they are directly or indirectly associated with SARS-CoV-2. ACE2 expression has been 577 described in humans both in health and with chronic rhinosinusitis, with expression 578 579 noted in sustentacular cells of the ONE, but not within immature and mature olfactory neurons (Chen et al., 2020). This observation led the authors to suggest that anosmia in 580 COVID-19 is likely attributable to an indirect effect of SARS-CoV-2 infection. However, 581 recent studies evaluated brain and nasal autopsies from patients who died of COVID-582 19, in which SARS-CoV-2 antigen and RNA was detected in cells of neural origin within 583 the ONE and cortical neurons occasionally associated with locally ischemic regions 584 (Meinhardt et al., 2020; Song et al., 2020). These studies provide conclusive evidence 585 that the K18-hACE2 mice serves as a model with translational significance, even though 586 587 ischemic lesions have not been reported including those in our study, further justifying its use to dissect the intricate mechanisms involved in SARS-CoV-2-mediated CNS 588 invasion. Even though SARS-CoV-2 infects sustentacular cells within the 589 590 neuroepithelium of Syrian hamsters (Bryche et al., 2020), the K18-hACE2 and a CRISPR/Cas9 hACE2 knock-in mouse model (Sun et al., 2020) are the only models 591 that develop neuroinvasion with wild-type virus and, thus, will be particularly useful for 592 studying SARS-CoV-2 neuropathogenesis, particularly the mechanisms of viral 593 trafficking of into the CNS through the neuroepithelium. 594

595 Another important observation of the K18-hACE2 model is that SARS-CoV-2 tropism 596 extensively involves infection of ACE2 and *hACE2* negative cells, including certain 597 population of neurons and the vast majority of AT1 pneumocytes. Similarly, sole 598 expression of *hACE2* in some cell types (i.e., bronchiolar epithelial cells) clearly does

not render these cells susceptible to SARS-CoV-2 even following intranasal exposure,
 and underscore that other undetermined host factors are most likely required to allow
 viral entry. Therefore, this model is extremely relevant for investigating the role of
 ACE2-independent entry.

In conclusion, this study provides a comprehensive spatiotemporal analysis of SARS-603 CoV-2 infection in the K18-hACE2 transgenic murine model along with an analysis of 604 the contribution of ACE2 in the permissiveness of the model. Our work provides 605 606 evidence that SARS-CoV-2 exhibits a marked neurotropism, and that this process likely occurs through mechanisms that are in part hACE2-independent. Lethal CNS invasion, 607 combined with the absence of several of the pulmonary hallmarks associated with 608 severe human COVID-19, therefore calls for attentive caution when utilizing the K18-609 610 hACE2 mouse model to investigate certain aspects of SARS-CoV-2 pathogenesis. The protective ability of anti-viral therapies and T-cell based vaccines against lethal 611 challenge in this model might indeed be then underestimated due to the increased 612 613 susceptibility to CNS invasion. Regardless, the K18-hACE2 mouse model represents a promising model for understanding the mechanisms governing SARS-CoV-2 614 neuroinvasion, ACE2-independent virus entry, and evaluating potent and fast-acting 615 616 prophylactic countermeasures.

617

618 MATERIALS AND METHODS

619 **Biosafety.** All aspects of this study were approved by the Institutional Biosafety 620 Committee and the office of Environmental Health and Safety at Boston University prior

to study initiation. Work with SARS-CoV-2 was performed in a biosafety level-3
 laboratory by personnel equipped with powered air-purifying respirators.

Cells and viruses. African green monkey kidney Vero E6 cells (ATCC[®] CRL-1586TM, American Type Culture Collection, Manassas, VA) were maintained in Dulbecco's minimum essential medium (DMEM; Gibco, Carlsbad, CA [#11995-065]) containing 10% fetal bovine serum (FBS, ThermoFisher Scientific, Waltham, MA), 1X non-essential amino acids (ThermoFisher Scientific), penicillin and streptomycin (100 U/ml and 100 μ g/ml), and 0.25 μ g/ml of amphotericin B (Gibco[®], Carlsbad, CA), and incubated at 37 °C and 5% CO₂ in a humidified incubator.

630 SARS-CoV-2 isolate stock preparation and titration. All replication-competent SARS-CoV-2 experiments were performed in a biosafety level 3 laboratory (BSL-3) at 631 632 the Boston University' National Emerging Infectious Diseases Laboratories. 2019nCoV/USA-WA1/2020 isolate (NCBI accession number: MN985325) of SARS-CoV-2 633 was obtained from the Centers for Disease Control and Prevention (Atlanta, GA) and 634 BEI Resources (Manassas, VA). To generate the passage 1 (P1) virus stock, Vero E6 635 cells, pre-seeded the day before at a density of 10 million cells, were infected in T175 636 flasks with the master stock, diluted in 10 ml final volume of Opti-MEM (ThermoFisher 637 Scientific). Following virus adsorption to the cells at 37 °C for 1 h, 15 ml DMEM 638 containing 10% FBS and 1X penicillin/streptomycin was added to the flask. The next 639 640 day, media was removed, the cell monolayer was rinsed with 1X phosphate buffered saline (PBS) pH 7.5 (ThermoFisher Scientific) and 25 ml of fresh DMEM containing 2% 641 FBS was added. Two days later, when the cytopathic effect of the virus was clearly 642 643 visible, culture medium was collected, filtered through a 0.2 µm filter, and stored at -80

644 °C. Our P2 working stock of the virus was prepared by infecting Vero E6 cells with the P1 stock, at a multiplicity of infection (MOI) of 0.1. Cell culture media was harvested at 2 645 and 3 dpi, and after the last harvest, ultracentrifuged (Beckman Coulter Optima L-100k: 646 647 SW32 Ti rotor) for 2 h at 25,000 rpm (80,000 X g) over a 20% sucrose cushion (Sigma-Aldrich, St. Louis, MO). Following centrifugation, the media and sucrose were discarded 648 and pellets were left to dry for 5 minutes at room temperature. Pellets were then 649 650 resuspended overnight at 4 °C in 500 µl of 1X PBS. The next day, concentrated virions 651 were aliquoted at stored at -80 °C.

The titer of our viral stock was determined by plaque assay. Vero E6 cells were seeded 652 into a 12-well plate at a density of 2.5 x 10⁵ cells per well and infected the next day with 653 serial 10-fold dilutions of the virus stock for 1 h at 37 °C. Following virus adsorption, 1 ml 654 655 of overlay media, consisting of 2X DMEM supplemented with 4% FBS and mixed at a 656 1:1 ratio with 1.2% Avicel (DuPont; RC-581), was added in each well. Three days later, the overlay medium was removed, the cell monolayer was washed with 1X PBS and 657 658 fixed for 30 minutes at room temperature with 4% paraformaldehyde (Sigma-Aldrich). Fixed cells were then washed with 1X PBS and stained for 1h at room temperature with 659 0.1% crystal violet (Sigma-Aldrich) prepared in 10% ethanol/water. After rinsing with tap 660 water, the number of plaques were counted and the virus titer was calculated. The titer 661 of our P2 virus stock was 4×10^8 plaque forming units (PFU)/ml. 662

Mice. Mice were maintained in a facility accredited by the Association for the Assessment and Accreditation of Laboratory Animal Care (AAALAC). All protocols were approved by the Boston University Institutional Animal Care and Use Committee (PROTO202000020). Heterozygous K18-hACE2 C57BL/6J mice of both sexes (strain:

2B6.Cg-Tg(K18-ACE2)2PrImn/J) were obtained from the Jackson Laboratory (Jax, Bar Harbor, ME). Animals were group-housed by sex in Tecniplast green line individually ventilated cages (Tecniplast, Buguggiate, Italy). Mice were maintained on a 12:12 light cycle at 30-70% humidity and provided ad-libitum water and standard chow diets (LabDiet, St. Louis, MO).

672 Intranasal inoculation with SARS-CoV-2. At 4 months of age, K18-hACE2 mice of both sexes were intranasally inoculated with 1 x 10^6 PFU of SARS-CoV-2 in 50 µl of 673 sterile 1X PBS (n=47 [n=25 male and n=12 female), or sham inoculated with 50 µl of 674 675 sterile 1X PBS (n=3; female). Inoculations were performed under 1-3% isoflurane anesthesia. Animals were either enrolled in a 14-day survival curve study (n=33). 676 Animals were only euthanized early if they reached euthanasia criteria, or if the 677 678 experiment included predetermined euthanasia timepoints for tissue sampling (2, 4, or 7 679 dpi; n=15).

Clinical monitoring. Animals included in the 14-day survival curve study were 680 intraperitoneally implanted with an RFID temperature-monitoring microchip (Unified 681 Information Devices, Lake Villa, IL, USA) 48-72 hours prior to inoculation. An IACUC-682 683 approved clinical scoring system was utilized to monitor disease progression and establish humane endpoints (Table 2). Categories evaluated included body weight, 684 general appearance, responsiveness, respiration, and neurological signs for a 685 maximum score of 5. Animals were considered moribund and humanely euthanized in 686 the event of the following: a score of 4 or greater for 2 consecutive observation periods, 687 688 weight loss greater than or equal to 20%, severe respiratory distress, or lack of

responsiveness. Clinical signs and body temperature were recorded once per day forthe duration of the study.

Tissue processing and viral RNA isolation. Tissues were collected from mice and 691 stored in 600 µl of RNA*later* (Sigma-Aldrich; # R0901500ML) and stored at -80 °C. For 692 693 processing, 20 – 30 mg of tissue were placed into a 2 ml tube with 600 µl of RLT buffer 694 with 1% β-mercaptoethanol and a 5 mm stainless steel bead (Qiagen, Valencia, CA; 695 #69989). Tissues were then dissociated using a Qiagen TissueLyser II (Qiagen) with the following cycle parameters: 20 cycles/s for 2 min, 1 min wait, 20 cycles/s for 2 min. 696 697 Samples were centrifuged at 17,000 X g (13,000 rpm) for 10 minutes and supernatant was transferred to a new 1.5 ml tube. Viral RNA isolation was performed using a Qiagen 698 RNeasy Plus Mini Kit (Qiagen; #74134), according to the manufacturer's instructions, 699 700 with an additional on-column DNase treatment (Qiagen; #79256). RNA was finally eluted in 30 µl of RNase/DNase-free water and stored at -80 °C until used. 701

RNA isolation from serum. Total viral RNA was isolated from serum using a Zymo Research Corporation Quick-RNATM Viral Kit (Zymo Research, Tustin, CA; #R1040) according to the manufacturer's instructions. RNA was eluted in 15 μ I of RNase/DNasefree water and stored at -80 °C until used.

SARS-CoV-2 E-specific reverse transcription quantitative polymerase chain
reaction (RT-qPCR). Viral RNA was quantitated using single-step RT-quantitative realtime PCR (Quanta qScript One-Step RT-qPCR Kit, QuantaBio, Beverly, MA; VWR;
#76047-082) with primers and TaqMan® probes targeting the SARS-CoV-2 E gene as
previously described (Corman et al., 2020). Briefly, a 20 µl reaction mixture containing
10 µl of Quanta qScript[™] XLT One-Step RT-qPCR ToughMix, 0.5 µM Primer

712 E Sarbeco F1 (ACAGGTACGTTAATAGTTAATAGCGT), 0.5 μM Primer E_Sarbeco_R2 (ATATTGCAGCAGTACGCACACA), 0.25 µM Probe E_Sarbeco_P1 713 (FAM-ACACTAGCCATCCTTACTGCGCTTCG-BHQ1), and 2 µl of template RNA was 714 715 prepared. RT-qPCR was performed using an Applied Biosystems QuantStudio 3 (ThermoFisher Scientific) and the following cycling conditions: reverse transcription for 716 10 minutes at 55 °C, an activation step at 94 °C for 3 min followed by 45 cycles of 717 denaturation at 94 °C for 15 seconds and combined annealing/extension at 58 °C for 30 718 seconds. Ct values were determined using QuantStudioTM Design and Analysis software 719 V1.5.1 (ThermoFisher Scientific). For absolute quantitation of viral RNA, a 389 bp 720 fragment from the SARS-CoV-2 E gene was cloned onto pIDTBlue plasmid under an 721 SP6 promoter using NEB PCR cloning kit (New England Biosciences, Ipswich, MA). 722 723 The cloned fragment was then in vitro transcribed (mMessage mMachine SP6 724 transcription kit; ThermoFisher) to generate an RT-gPCR standard.

Serum infectivity assay. Vero E6 cells were plated in a 24-well plate at a density of 50,000 cells per well. To assess for infectious particles, 20 µl of serum was added onto cells with 250 µl of DMEM supplemented with 2% FBS and 1% penicillin and streptomycin and incubated for 6 hours at 37 °C. After incubation, serum was removed, cells were washed twice with 1 ml of 1X PBS, and 1 ml of DMEM supplemented with 2% FBS 1% penicillin and streptomycin was added to each well. Media was collected 24- and 96-hours post infection for RT-qPCR analysis.

Histology. Animals were anesthetized with 1-3% isoflurane and euthanized with an
intraperitoneal overdose of ketamine and xylazine before harvest and fixation of tissues.
Lungs were insufflated with ~1.5mL of 1% low melting point agarose (Sigma-Aldrich)

735 diluted in 1X PBS using a 24-gauge catheter placed into the trachea. The skull cap was removed and the animal decapitated and immersed in fixative. Additional tissues 736 included the heart. kidneys, and representative sections of the 737 harvested 738 gastrointestinal tract, which included the duodenum, jejunum, ileum, cecum, and colon. Tissues were inactivated in 10% neutral buffered formalin at a 20:1 fixative to tissue 739 ratio for a minimum of 72 hours before removal from BSL-3 in accordance with an 740 approved institutional standard operating procedure. Following fixation, the whole head 741 was decalcified in Immunocal[™] Decalcifier (StatLab, McKinney, TX) for 7 days before 742 performing a mid-sagittal section dividing the two hemispheres into even sections. 743 Tissues were subsequently processed and embedded in paraffin following standard 744 histological procedures. Five-micron sections were obtained and stained with 745 746 hematoxylin and eosin or Luxol Fast Blue (myelin stain).

RNAscope[®] 747 Immunohistochemistry and in situ hybridization. Immunohistochemistry (IHC) was performed using a Ventana BenchMark Discovery 748 749 Ultra autostainer (Roche Diagnostics, Indianapolis, IN) using tyramide signaling amplification (TSA) technology. Specific IHC assay details including antibodies, antigen 750 retrieval, sequence of multiplex assays, and incubation periods are found in Table S2. 751 752 SARS-CoV-2 S was semiguantitatively scored as follows: 0, no viral antigen observed; 753 1, up to 5% positive cells per 400X field examined; 2, 5-25% positive cells per 400X field examined; and 3, up to 50% positive cells per 400X field examined. 754

For SARS-CoV-2 RNAscope[®] ISH, an anti-sense probe targeting the spike (S; nucleotide sequence: 21,563-25,384) of SARS-CoV-2, USA-WA1/2020 isolate (GenBank accession number MN985325.1) was used as previously described

(Carossino et al., 2020; Gaudreault et al., 2020). The RNAscope[®] ISH assay was 758 performed using the RNAscope 2.5 LSx Reagent Kit (Advanced Cell Diagnostics, 759 Newark, CA) on the automated BOND RXm platform (Leica Biosystems, Buffalo Grove, 760 761 IL) as described previously (Meekins et al., 2020). Briefly, four-micron sections of formalin-fixed paraffin-embedded tissue were subjected to automated baking and 762 deparaffinization followed by heat-induced epitope retrieval (HIER) using a ready-to-use 763 EDTA-based solution (pH 9.0; Leica Biosystems) at 100 °C for 15 min. Subsequently, 764 tissue sections were treated with a ready-to-use protease (RNAscope® 2.5 LSx 765 Protease) for 15 min at 40 °C followed by a ready-to-use hydrogen peroxide solution for 766 10 min at room temperature. Slides were then incubated with the ready-to-use probe 767 mixture for 2 h at 40 °C, and the signal amplified using a specific set of amplifiers 768 769 (AMP1 through AMP6 as recommended by the manufacturer). The signal was detected 770 using a Fast-Red solution for 10 minutes at room temperature. Slides were counterstained with a ready-to-use hematoxylin for 5 min, followed by five washes with 771 772 1X BOND Wash Solution (Leica Biosystems) for bluing. Slides were finally rinsed in deionized water, dried in a 60 °C oven for 30 min, and mounted with Ecomount® 773 (Biocare, Concord, CA, USA). A SARS-CoV-2-infected Vero E6 cell pellet was used as 774 775 a positive assay control. For all assays, an uninfected mouse was used as a negative 776 control.

For *hACE2* mRNA RNAscope[®] ISH, an anti-sense probe targeting *hACE2* (GenBank accession number NM_021804.3; Cat. No. 848038) with no cross-reactivity to murine *Ace2* was used in a similar manner as described above with the exception that AMP5 and AMP6 were incubated for 45 min and 30 min, respectively. Murine *peptidylprolyl*

isomerase B (Ppib) mRNA was used as a housekeeping gene to determine RNA quality
 and a Vero E6 cell pellet was used as a positive assay control.

Multispectral microscopy. Fluorescently labeled slides were imaged using a Mantra 2.0[™] Quantitative Pathology Workstation (Akoya Biosciences, Marlborough, MA). To maximize signal-to-noise ratios, images were spectrally unmixed using a synthetic library specific for the Opal fluorophores used for each assay and for 4',6-diamidino-2-phenylindole (DAPI). Furthermore, an unstained section was used to create an autofluorescence signature that was subsequently removed from whole-slide images using InForm software version 2.4.8 (Akoya Biosciences).

Statistical analysis. Descriptive statistics and graphics as well as Kaplan-Meier (survival) curves and statistical tests were performed using GraphPad Prism statistical analysis software (GraphPad, San Diego, CA). Clinical parameters were analyzed using a Student *t*-test. Significance levels were set at p-value<0.05 in all cases.</p>

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- 805

806 CONFLICT OF INTEREST STATEMENT

807 The authors declare no conflicts of interest.

808

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and M. Bosmann designed the study; F. Douam, N Crossland, M. Saeed, M. Carossino,
P. Montanaro, A. O'Connell, D. Kenney, H. Gertje, K Grosz, and S Kurnick performed
the experiments; F. Douam, N. Crossland, M. Carossino, P. Montanaro, A. O'Connell,
and D. Kenney performed data analysis; and M. Carossino, N. Crossland, and F.
Douam wrote the manuscript.

815

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1046 FIGURE LEGENDS

Figure 1. SARS-CoV-2 caused lethal disease in K18-hACE2 mice (n=33) inoculated intranasally with 1 x 10⁶ plaque forming units (PFU). Body weight (A), clinical signs (B), temperature (C), and mortality (D) were monitored daily. Viral loads (genome copy numbers/mg or ml) were monitored in the brain, lungs (E) and serum (F) throughout the study. Mean genome copy numbers are depicted. The limit of detection (LOD) is shown with a dashed line. 1053 Figure 2. Temporal analysis of SARS-CoV-2 infection in the nasal cavity of K18-hACE2 1054 mice at 2 (A-D) and 4 (E-H) days following intranasal inoculation. Histological changes and viral antigen (brown) and RNA (red) distribution and abundance were assessed. At 1055 1056 2 dpi, suppurative rhinitis in the rostral and intermediate turbinates (A, arrow) correlated with abundant intraepithelial SARS-CoV-2 antigen (C) and RNA (C, inset). Abundant 1057 viral antigen and RNA was detected in the olfactory neuroepithelium (ONE, D and inset) 1058 1059 in the absence of histologic lesions (B). At 4 dpi, only sporadically infected cells were noted in the epithelium lining the turbinates and ONE (G and H, arrow and insets) in the 1060 absence of histologic lesions (E and F). H&E and Fast Red (viral RNA), 200X total 1061 magnification. Bar = $100 \mu m$. 1062

Figure 3. Temporal analysis of SARS-CoV-2 infection in the lungs of K18-hACE2 mice 1063 at 2 (D-F), 4 (G-I), 7 (J-L) and 14 (M-O) days following intranasal inoculation. 1064 1065 Histological changes and viral RNA (red) distribution and abundance were assessed. 1066 Mild to moderate interstitial pneumonia was evident starting at 2 days post-infection 1067 (dpi) with frequently reactive blood vessels (E, arrow) and a peak in viral RNA at 4 days post-infection (I). At 7 and 14 days post-infection, there was evidence of alveolar type 2 1068 (AT2) cell hyperplasia (K and N, arrows), with viral RNA restricted to areas spared of 1069 1070 inflammation (L). The pneumonia was significantly ameliorated by 14 dpi (M) with no 1071 viral RNA (O). H&E and Fast Red (viral RNA), 50X (A, D, G, J, and M; bar = 500 μ m) and 200X (B, C, E, F, H, I, K, L, M and N; bar = 100 µm) total magnification. 1072

Figure 4. SARS-CoV-2 tropism and temporal immunoprofiling of the pulmonary host inflammatory response following SARS-CoV-2 intranasal inoculation in K18-hACE2. (A) SARS-CoV-2 (yellow) showed tropism for RAGE⁺ alveolar type 1 (AT1, magenta) and

scattered SPC⁺ alveolar type 2 (AT2, red) pneumocytes (A1 and A2, arrowheads and 1076 arrows, respectively) but not for CD31⁺ endothelial cells (green). SARS-CoV-2 (orange) 1077 was abundant and localized within AT1 and AT2 pneumocytes, with a progressive 1078 1079 increase in the number of CD3+CD8- T cells (blue, presumed to be CD4+ T cells), Iba-1+ histiocytes (magenta), and CD8+ T cells (red) throughout the course of the 1080 experiment (2 days post-infection [dpi, C], 7 dpi [D] and 14 dpi [E]). SARS-CoV-2 S 1081 antigen was no longer detectable by 14 dpi, and numerous aggregates of CD19+ B cells 1082 1083 (green) were noted (E). Multiplex fluorescent IHC, 200X total magnification. Bar = 501084 μm

Figure 5. Temporal neuronal damage in K18-hACE2 mice following intranasal 1085 inoculation with SARS-CoV-2. No histologic changes were noted in the cerebrum or 1086 1087 olfactory bulb until 6-7 days post-infection (dpi, A-D). SARS-CoV-2 antigen (brown) was 1088 evident as early as 4 dpi (F, arrow). At 6-7 dpi, mild (G, arrowheads) to marked (G, 1089 inset) spongiosis with neuronal degeneration and necrosis involving multiple areas 1090 within the cerebral cortex and elsewhere were noted. Similar changes were evident in the olfactory bulb, with occasional perivascular cuffs/gliosis (H, arrowhead) and 1091 abundant viral antigen (I, arrows). No histologic alterations or viral antigen was detected 1092 1093 in survivor mice at 14 dpi (J-L). H&E and DAB (viral antigen), 100X (A, B, D, E, G, H, J, K; bar = 200 μ m) and 200X (C, F, I, L; bar = 100 μ m) total magnification. 1094

Figure 6. Invasion of SARS-CoV-2 into the central nervous system. Sagittal sections of the head were analyzed for viral antigen and RNA distribution. SARS-CoV-2 infected neurons within the mitral layer of the olfactory bulb (1, arrow) as well as small clusters of neuronal bodies within the cerebral cortex (2, SARS-CoV-2 RNA in inset) as early as

4 days post-infection. At 7 days post-infection, SARS-CoV-2 antigen was widespread along the mitral layer of the olfactory bulb (1) and throughout the central nervous system (2, SARS-CoV-2 RNA in inset) with exception of the cerebellum. EPL, external plexiform layer; GCL, granular cell layer; GL, glomerular layer; ML, mitral layer. DAB (viral antigen) and Fast Red (viral RNA). 7.5X (bar = 2.5 mm) and 200X (bar = 100 μ m) total magnification.

Figure 7. Analysis of SARS-CoV-2 tropism within the central nervous system at 7 days post-infection using multiplex immunohistochemistry. Abundant SARS-CoV-2 antigen (green) localized within cortical (A), hippocampal (B) and brainstem (C) neurons with abundant neighboring Iba-1+ microglia (orange) and clusters of GFAP+ astrocytes (gliosis, magenta). 40X total magnification. Bar = 50 μ m.

1110 Figure 8. Distribution of ACE2 in lungs, nasal cavity, brain and olfactory bulb of wild-1111 type C57BL/6J and transgenic (mock and SARS-CoV-2-infected) K18-hACE2 mice was analyzed via immunohistochemistry using a cross-reactive anti-ACE2 antibody. In the 1112 1113 lungs (A-C), ACE2 expression (brown) was mostly restricted to the apical membrane of bronchiolar epithelial cells with scattered positive pneumocytes (inset arrows). Nasal 1114 (rostral/intermediate turbinates [R/I]) and olfactory epithelium (ONE) were devoid of 1115 ACE2 in C57BL/6J mice (D) but expression was enhanced in K18-hACE2 mice with 1116 intense apical expression (E and F). ACE2 expression within the brain (G-I) and 1117 1118 olfactory bulb (J-L) was restricted to capillary endothelium with no neuronal expression. 1119 DAB, 200X total magnification. Bar = $100 \,\mu m$.

Figure 9. Expression and distribution of *hACE2* mRNA in the lungs of C57BL/6J and K18-hACE2 transgenic mice via RNAscope® ISH. While no expression of *hACE2* was

noted in the lungs of wild-type C57BL/6J mice (A), *hACE2* was expressed in the
bronchiolar epithelium (arrowheads) and sporadic pneumocytes (arrows) in transgenic
K18-hACE2 mice (B and C), which correlated with immunohistochemical findings. Fast
Red, 400X total magnification. Bar = 50 µm.

Figure 10. Expression and distribution of *hACE2* mRNA in the brain of K18-hACE2
transgenic mice via RNAscope® ISH. *hACE2* was expressed in clusters of neurons
within the cerebral cortex (A), hippocampus (B) as well as in other locations including
Purkinje cells of the cerebellum. Fast Red, 400X total magnification. Bar = 50 μm.

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1131 SUPPLEMENTARY FIGURES

Figure S1. Histologic and viral antigen distribution in the nasal cavity of K18-hACE2
mice following SARS-CoV-2 infection. No histologic lesions or antigen were detected at
7 and 14 days post-infection (dpi). 200X total magnification. Bar = 100 μm.

Figure S2. Localization and abundance of SARS-CoV-2 RNA (red) and antigen (brown) in the lungs of infected K18-hACE2 mice as demonstrated by anti-Spike RNAscope® *in situ* hybridization and immunohistochemistry. 2 (A and B), 4 (C and D), 7 (E and F) and 1138 14 (G and H) days post-infection (dpi). 50X (bar = 500 μ m) and 100X (bar = 100 μ m) 1139 total magnification.

Figure S3. Histological and immunohistochemical findings in the cervicothoracic spinal cord of SARS-CoV-2-infected K18-hACE2 mice at 7 days post-infection. Histologically (A), Multifocal neuronal bodies within the grey matter are shrunken, angular and hyperchromatic (neuronal degeneration and necrosis), and the neuroparenchyma has multiple clear spaces filled with small amounts of debris (spongiosis) with a slight increase in the number of glial cells (gliosis). H&E, 100X total magnification. (B)

1146 Abundant SARS-CoV-2 spike protein localized within the perikaryon and processes of

motor neurons within the spinal cord. DAB, 200X total magnification. Bar = $100 \mu m$.

1148 **Figure S4.** Expression of *hACE2* mRNA (red) in the brain of C57BL/6J and K18-hACE2

1149 mice determined by RNAscope® ISH. While wild-type mice exhibit no expression (A),

- hACE2 is expressed in clusters of neurons at multiple locations in K18-hACE2 mice (B).
- 1151 Fast Red, 400X total magnification. Bar = $50 \mu m$.

Figure S5. Expression of ACE2 (red) in the enterocytes lining the small intestine of C57BL/6J and K18-hACE2 mice. Immunohistochemistry was performed using a crossreactive anti-ACE2 antibody. In the stomach, expression was intense in the nonglandular mucosa and capillaries of the glandular mucosa (A-C, arrows). In the colon, scattered enterocytes express ACE2 (G-I, arrows). Fast Red, 100X (A-C; bar = 200 μ m) and 200X (D-I; bar = 100 μ m) total magnification.

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1161 **Table 1.** SARS-CoV-2 viral antigen abundance in tissues derived from SARS-CoV-2-infected K18-hACE2 mice. Median

DPI	AT1/AT2	Bronchioles	Rostral turbinates	Intermediate turbinates	ONE	Olf. bulb	Brain	Spinal cord (CT)	Spinal cord (LS)	GI*	Kidneys
Mock	0	0	0	0	0	0	0	0	0	0	0
2	2 (1-2)	0	1 (0-2)	2 (1-2)	1 (1-2)	0	0	0	0	0	0
4	2 (1-3)	0	0 (0-1)	0 (0-1)	1 (0-1)	0 (0-1)	0 (0-1)	0	0	0	0
6-8	2 (1-3)	0	0	0	1 (0-1)	1 (0-2)	3 (0-3)	1 (0-2)	0 (0-1)	0	0
14	0	0	0	0	0	0	0	0	0	0	0

scores are represented along with ranges between brackets when applicable.

1163 0, no SARS-CoV-2 antigen observed; 1, 0 to 5% of cells within a high magnification (400X) field are positive for viral

- within a high magnification (400X) field are positive for viral antigen. NA, not available. AT1, alveolar type 1 pneumocytes;
- 1166 AT2, alveolar type 2 pneumocytes; ONE, olfactory neuroepithelium; CT, cervicothoracic segment; LS, lumbosacral

segment; GI, gastrointestinal tract.

¹¹⁶⁸ *Sections examined included stomach, small intestine (duodenum, jejunum and ileum) and large intestine (cecum and

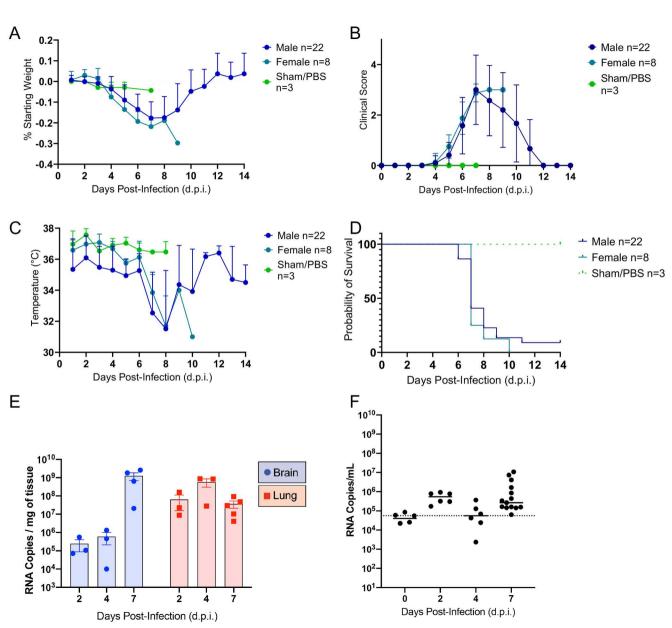
1169 colon).

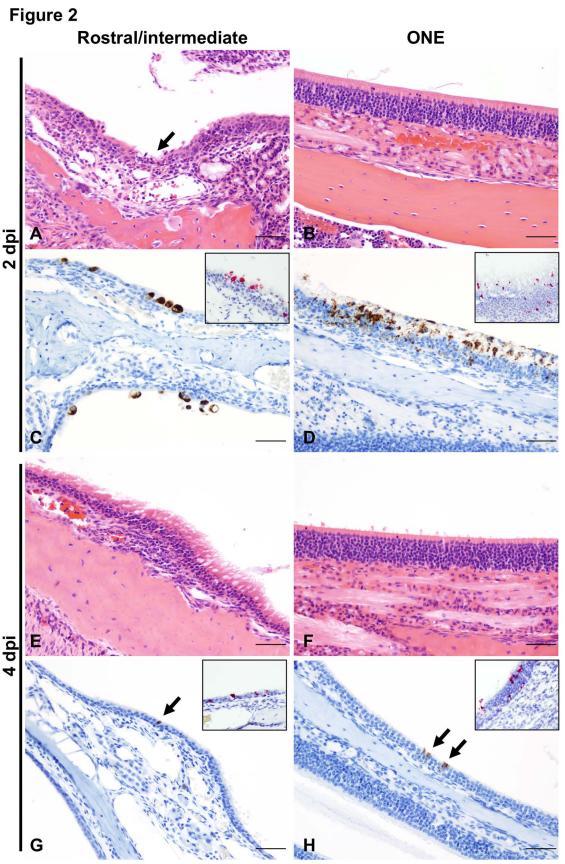
antigen; 2, 5 to 25% of cells within a high magnification (400X) field are positive for viral antigen; 3, >25 to <50% of cells

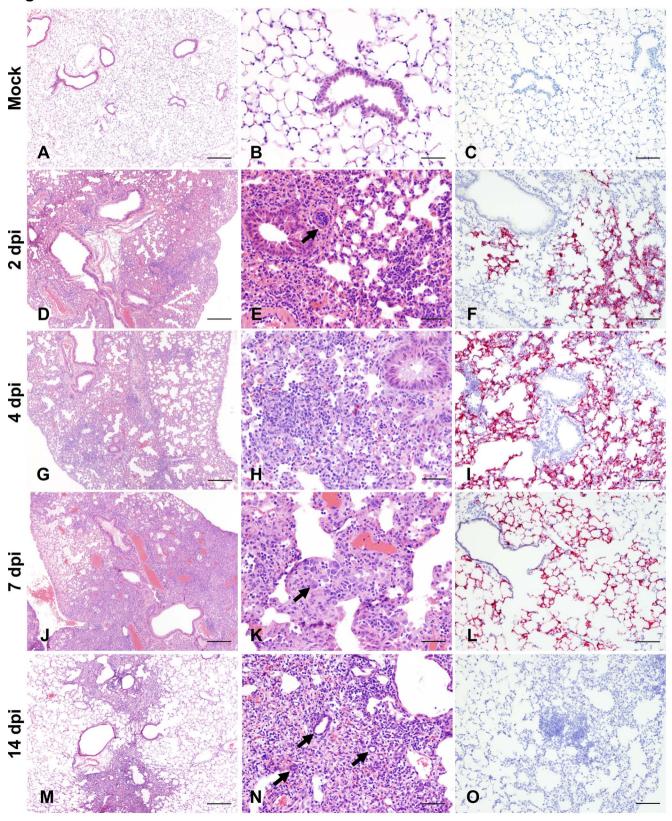
Category	Score = Criteria
Body weight	1 = 10-19% loss
Respiration	1 = rapid, shallow, increased effort
Appearance	1 = ruffled fur, hunched posture
Responsiveness	1 = low to moderate unresponsiveness
Neurologic signs	1 = tremors

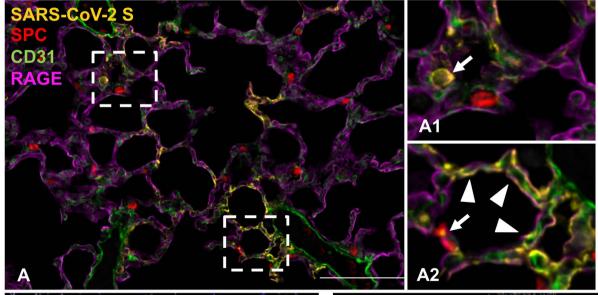
Table 2. Clinical scoring system used for clinical monitoring of SARS-CoV-2-infected K18-hACE2 mice.

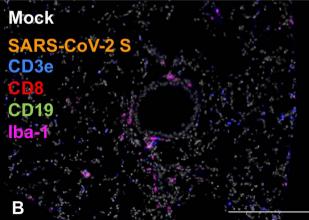
Figure 1

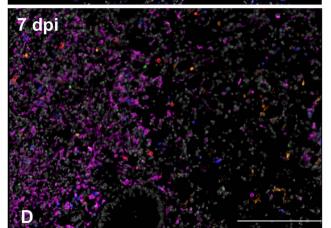


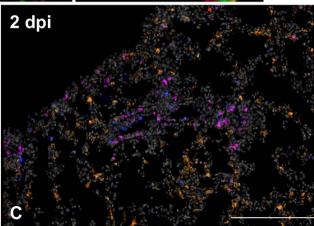


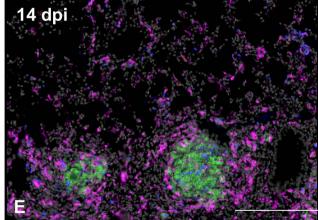


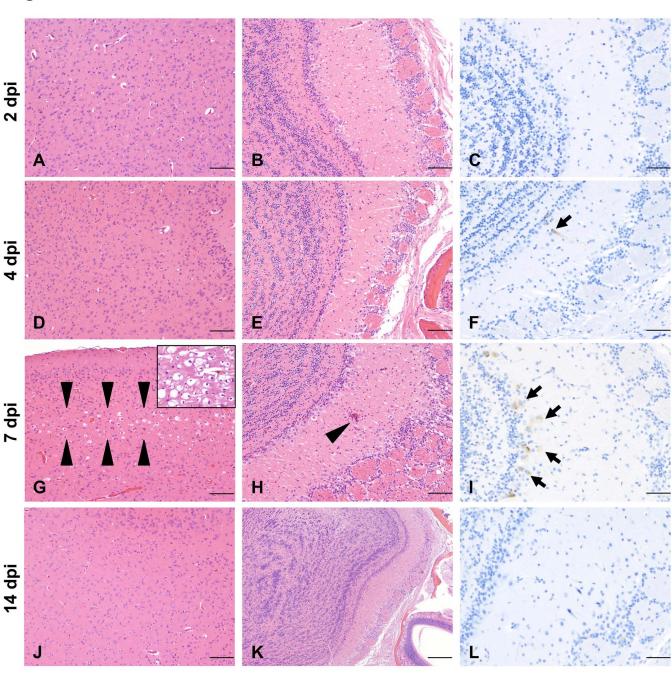


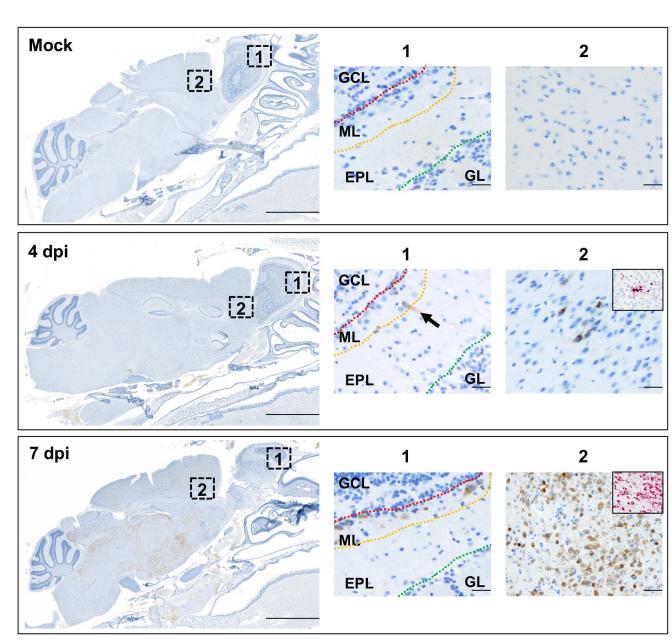


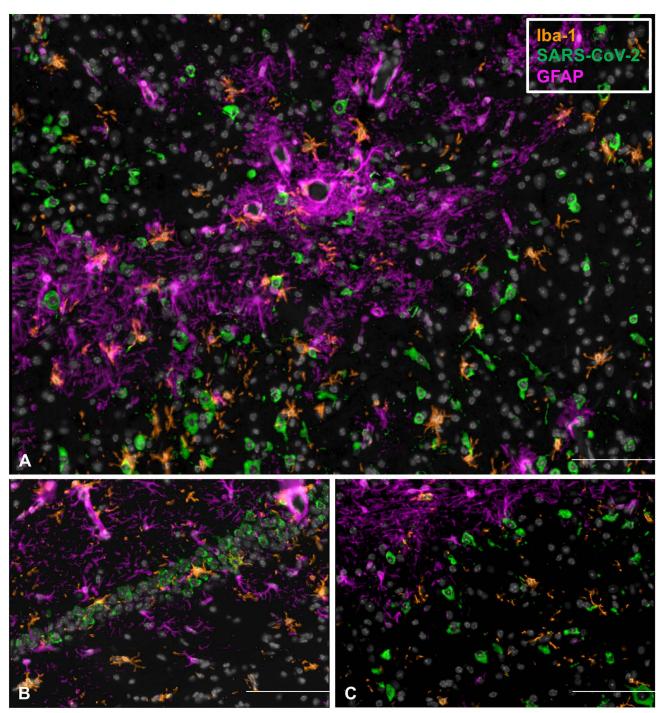


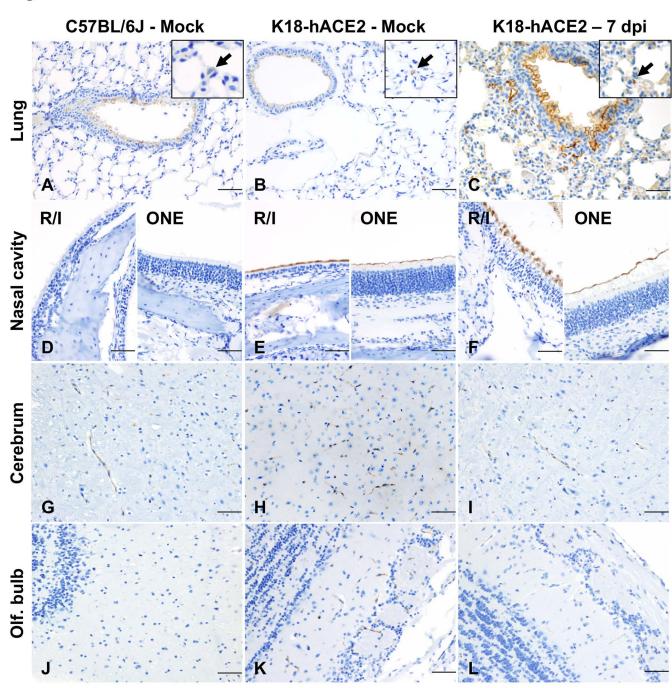
















K18-hACE2 (4 dpi)

C57BL/6J

